

Abstract Possible Worlds

by Alvin Plantinga (excerpted from *The Nature of Necessity*, 1974)

1. Worlds

In exploring and explaining the nature of necessity, Leibniz turns to the idea of *possible worlds*; we can do no better. So we must ask initially what sort of thing a possible world *is*. The first and rough answer is that it is a *way things could have been*; it is *a way the world could have been*; it is a *possible state of affairs* of some kind. There are such things as states of affairs; among them we find some that obtain, or are actual, and some that do not obtain. So, for example, *Kareem Abdul-Jabbar's being more than seven feet tall* is a state of affairs, as is *Spiro Agnew's being President of Yale University*. Although each of these is a state of affairs, the former but not the latter obtains, or is actual. And although the latter is not actual, it is a *possible* state of affairs; in this regard it differs from *David's having travelled faster than the speed of light* and *Paul's having squared the circle*. The former of these last two items is causally or naturally impossible; the latter is impossible in that broadly logical sense.

A possible world, then, is a possible state of affairs—one that is possible in the broadly logical sense. But not every possible state of affairs is a possible world. To claim that honour, a state of affairs must be *maximal* or *complete*. *Socrates' being snubnosed* is a possible state of affairs; it is not complete or inclusive enough to be a possible world. But what is this 'completeness'? Here we need a couple of definitions. Let us say that a state of affairs *S* *includes* a state of affairs *S'* if it is not possible (in the broadly logical sense) that *S* obtain and *S'* fail to obtain—if, that is, the conjunctive state of affairs *S but not S'* (a state of affairs that obtains if and only if *S* obtains and *S'* does not) is impossible. So, for example, *Jim Whittaker's being the first American to climb Mt. Everest* includes *Jim Whittaker's being an American*. It also includes *Mt. Everest's being climbed*, *something's being climbed*, and *no American's having climbed Everest before Whittaker did*. Similarly, a state of affairs *S* *precludes* a state of affairs *S'* if it is not possible that both obtain. Thus *Whittaker's being the first American to climb Mt. Everest* precludes *Luther Jerstad's being the first American to climb Everest* as well as *Whittaker's never having climbed anything*. But now it is easy to say what completeness is; a state of affairs *S* is *complete* or *maximal* if for every state of affairs *S'*, *S* includes *S'* or *S* precludes *S'*. And a possible world is simply a possible state of affairs that is maximal. Of course *the actual world* is one of the possible worlds; it is the maximal possible state of affairs that is actual, that has the distinction of actually obtaining. Obviously *at least* one possible world obtains. Equally obviously, *at most* one obtains; for suppose two worlds *W* and *W'* both obtained. Since *W* and *W'* are distinct worlds, there will be some state of affairs *S* such that *W* includes *S* and *W'* precludes *S*. But then if both *W* and *W'* are actual, *S* both obtains and does not obtain; and this, as they say, is repugnant to the intellect.

2. Books

It is clear that a proposition like

(1) Socrates is snubnosed

is intimately related to a state of affairs like

(2) Socrates' being snubnosed.

Roderick Chisholm, indeed, thinks the relation so intimate as to constitute identity. As he sees it, there are not *two* kinds of entities—propositions and states of affairs—but only one; propositions just *are* states of affairs. Perhaps he is right. Without entering that question, we may note that in any event there is an obvious respect in which (1) corresponds to (2); it is impossible, in that broadly logical sense, that (1) be true and (2) fail to obtain. We might extend the use of 'entails' and say that (1) *entails* (2). But it is equally impossible that (2) obtain and (1) be false; (2) also entails (1). And obviously for any possible world W and proposition p , W entails p or entails the denial of p . Now for any possible world W , *the book on W* is the set S of propositions such that p is a member of S if W entails p . Like worlds, books too have a maximality property; if B is a book, then for any proposition p , either p is a member of B or else not- p is. And clearly for each possible world W there will be exactly one book. There is at least one, since for any world W and proposition p , W entails either p or its denial; so the set of propositions entailed by W will be maximal. There is also at most one; for suppose a world W had two (or more) distinct books B and B' . If B differs from B' , there must be some proposition p such that B contains p but B' contains the denial of p . But then W would entail both p and its denial, in which case W would not be a possible state of affairs after all. So each world has its book. Similarly, each maximal possible set of propositions is the book on some world; and the book on the actual world is the set of true propositions. The book on a world W is the set of propositions *true in W* . To say that p is true in a world W is to say that if W had been actual, p would have been true. More exactly, if p is true in W , then W entails p ; it is impossible that W be actual and p be false. The locution 'truth in W (for specific W)' denotes a property that a proposition has if it is not possible that W obtain and p fail to be true. *Truth-in- W* is to be explained in terms of truth *simpliciter*, not *vice versa*. A proposition is true in the actual world if it is true; it is true in W if it *would have been* true had W been actual.